## **U.S.** Department of Labor

Employment Standards Administration Office of Labor-Management Standards New York District Office 201 Varick Street Room 878 New York, IVY 10014 (646)264-3190 Fax: (646)264-3191



November 25, 2008

Mr. Raoul Baptiste, President American Federation of State, County & Municipal Employees Local 1503 1000 Fifth Avenue New York, New York 10028

Re: Case Number:

Dear Mr. Baptiste:

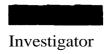
This officehas recently completed an audit of AFSCME Local 1503 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with Raoul Baptiste and Oswald Fomey on November 24,2008, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

## **Reporting Violations**

The CAP disclosed a violation of LMRDA Section 207(b), which requires each labor organization to file an annual financial report within ninety days after the end of its fiscal year. AFSCME Local 1503's Labor Organization Annual Report (Form LM-2) for fiscal year ending December 31,2007 was electronically filed on September 15, 2008.

I want to extend my personal appreciation to AFSCME Local 1503 for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,



cc: JohnMayers - Vice President