

U.S. Department of Labor

Employment Standards Administration  
Office of Labor-Management Standards  
St. Louis District Office  
1222 Spruce Street, Suite 9.109E  
St. Louis, Missouri 63103  
(314) 539-2667 / Fax: (314) 539-2626



October 31, 2005

Mr. David Baker, President/Business Manager  
Teamsters Local 421  
195 East 14<sup>th</sup> Street  
Dubuque, Iowa 52001-4909

Dear Mr. Baker:

This office has recently completed an audit of Teamsters Local 421 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with you on October 20, 2005, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

The CAP disclosed a violation of Section 201(a) of the Act when it was determined that the local had failed to file amendments to its bylaws with the Secretary. As discussed, changes and or amendments to your bylaws should be filed with the local's LM-2 report at the end of each fiscal year. A current copy of the local's bylaws was furnished during the CAP and no further action is necessary.

I want to thank you for your cooperation and courtesy during this compliance audit. If we can be of any assistance in the future, please do not hesitate to contact me or any other representative of our office.

Sincerely,

Dennis L. Eckert  
District Director

By: P  
Investigator

KK:RA:SF