

U.S. Department of Labor

Employment Standards Administration
Office of Labor-Management Standards
New Haven Resident Office
Two Whitney Ave., RM 301
New Haven, CT 06510
(203) 773-2130 / FAX: (203) 773-2333



March 31, 2005

Kevin O'Toole, Secretary Treasurer
Teamsters Local 145
3272 Main Street, Suite 202
Stratford, CT 06614

Re: **2**

Dear Mr. O'Toole:

This office has recently completed an audit of Teamsters Local 145 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959, as Amended (LMRDA). As discussed during the exit interview with you on March 30, 2005, the following problems were discussed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Title II of the LMRDA establishes certain reporting and record keeping requirements. Section 206 requires, among other things, that adequate records be maintained for at least five years by which each receipt and disbursement of funds, as well as all account balances can be verified, explained, and clarified. As a general rule, all records used or received in the course of union business must be retained. This includes, in the case of disbursements, not only the retention of original bills, invoices, receipts, and vouchers, but also adequate additional documentation, if necessary, showing the nature of the union business requiring the disbursement, the goods or services received, and the identity of the recipients of the goods or services.

The CAP disclosed that bank statements, cancelled checks, deposit records, receipt records, original invoices, bills and vouchers for January 2003 through July 2003, could not be found in the union records. You have agreed to maintain all of these records in the future.

Teamsters Local 145

March 2002

In addition to the above, the CAP disclosed that the union was not adequately bonded as required by the LMRDA Section 502. The minimum bonding amount for each covered officer or employee is 10 percent of the funds handled by the official and his or her predecessor, if any, during the preceding fiscal year. Since being advised of this violation the amount of bonding coverage has been increased to \$65,000. Based on this, no further action regarding this matter is required by the union.

I want to extend my personal appreciation for your and your entire staff's cooperation and courtesy during this compliance audit. If we can be of any assistance in the future, please do not hesitate to call.

Sincerely,

FCC

Supervisory Investigator

cc: Michael O'Malley, President