

U.S. Department of Labor  
Cleveland District Office

Employment Standards Administration  
Office of Labor-Management Standards  
Cleveland District Office  
1240 East Ninth Street, Room 831  
Cleveland, Ohio 44199

Telephone: (216) 357-5455  
Facsimile: (216) 357-5425



JUN 21 2005

Sally Kidwell, Treasurer  
Ohio Associate Staff Union/NSO

Re:

Dear Ms. Kidwell:

This office has recently completed an audit of Ohio Associate Staff Union under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959, as Amended (LMRDA). As discussed during the exit interview with you on May 19, 2005, the following problems were discussed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

The CAP disclosed a violation of LMRDA section 201(b), because the Labor Organization Annual Report (Form LM-3) filed by Ohio Associate Staff Union for fiscal year ending August 31, 2004 failed to meet the standards of acceptability. The following deficient items were identified:

- The union erroneously included \$7,000 attributable to establishing a new savings account for the union in items 28A and 52.
- The union failed to disclose monies collected and disbursed for a fundraiser in items 43 and 54. Although the union did not benefit from the fundraiser, the funds collected on behalf of the membership for two ill members were deposited into the union's checking account and the checks paid to those in need were written on the union's account. Therefore, these transactions are required to be disclosed on the form LM-3.

This office received an amended LM-3 report for 2004 with the cited deficiencies corrected. Therefore, no additional enforcement action will be taken regarding this matter.

I want to thank you for your cooperation and courtesy during this compliance audit. If we can be of any assistance in the future, please do not hesitate to call.

Sincerely,

A handwritten signature in dark ink, appearing to be "FIC", written over a horizontal line.

, Investigator