

U.S. Department of Labor

Employment Standards Administration
Office of Labor-Management Standards
New York District Office
201 Varick Street
Room 878
New York, NY 10014
(646)264-3190 Fax: (646)264-3191



November 25, 2008

Mr. Raoul Baptiste, President
American Federation of State, County & Municipal Employees
Local 1503
1000 Fifth Avenue
New York, New York 10028

Re: Case Number: [REDACTED]

Dear Mr. Baptiste:

This office has recently completed an audit of AFSCME Local 1503 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with Raoul Baptiste and Oswald Fomey on November 24, 2008, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Reporting Violations

The CAP disclosed a violation of LMRDA Section 207(b), which requires each labor organization to file an annual financial report within ninety days after the end of its fiscal year. AFSCME Local 1503's Labor Organization Annual Report (Form LM-2) for fiscal year ending December 31, 2007 was electronically filed on September 15, 2008.

I want to extend my personal appreciation to AFSCME Local 1503 for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,

[REDACTED]

Investigator

Mr. Raoul Baptiste
November 25, 2008
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cc: JohnMayers - Vice President