



September 23, 2005

Ms. Cindy Pettus, Financial Secretary  
UAW Local 597  
2567 Nike Base Road  
Catawissa, Missouri 63015

Dear Ms. Pettus:

This office has recently completed an audit of UAW Local 597 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with you on September 22, 2005, the following record-keeping violations were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Title II of the LMRDA established record-keeping requirements for officers of labor unions. Section 206 requires officers to maintain records that verify, clarify, and explain all receipts and disbursements of union records for a period of 5 years. As a general rule, this includes all records created or received during the normal course of union business. This also includes all historical records which are reported on the annual LM report filed with OLMS which are over the 5-year period.

During the audit of your union, it was discovered that Local 597 was in violation of Section 206 of the LMRDA. Local 597 failed to maintain records for several hotel bill receipts pertaining to officer expenses. Also, no documentation for replacement check number 11363 was found. You agreed during the exit interview that you will maintain records for all hotel bills and replacement checks in the future; therefore, this matter is considered resolved.

I want to extend my personal appreciation to you for your cooperation and courtesy during this compliance audit. If we can be of any assistance in the future, please do not hesitate to call.

Sincerely,

Dennis L. Eckert  
District Director

By: *FCC*  
Investigator

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