

U.S. Department of Labor

Office of Labor-Management Standards
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February 17, 2012

Mr. Vincent Diaz, Business Manager/Finance Secretary
Plumbers AFL-CIO, LU 345
1430 Huntington Drive
Duarte, CA 91010

Case Number: [REDACTED]
LM Number: 063-064

Dear Mr. Diaz:

This office has recently completed an audit of Plumbers AFL-CIO, LU 345 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with you on February 6, 2012, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Reporting Violation

The audit disclosed a violation of LMRDA Section 201(b), which requires labor organizations to file annual financial reports accurately disclosing their financial condition and operations. The Labor Organization Annual Report Form LM-2 filed by Local 345 for fiscal year ending July 31, 2011, was deficient in the following area:

Failure to File Bylaws

The audit disclosed a violation of LMRDA Section 201(a), which requires that a union submit a copy of its revised constitution and bylaws with its LM report when it makes changes to its constitution or bylaws. Local 345 amended its constitution and bylaws on January 9, 2002, but did not file a copy with its LM report for that year.

Local 345 have now filed a copy of its constitution and bylaws.

I want to extend my personal appreciation to Plumbers AFL-CIO, LU 345 for the cooperation and courtesy extended during this compliance audit. I strongly recommend that you make sure this letter and the compliance assistance materials provided to you are passed on to future officers. If we can provide any additional assistance, please do not hesitate to call.

Sincerely,

[REDACTED]
Investigator

Cc: Ricardo Perez, President