

U.S. Department of Labor

Employment Standards Administration
Office of Labor-Management Standards
Cincinnati District Office
36 East Seventh Street Suite 2550
Cincinnati, Ohio 45202
(513) 684-6840 / FAX: (513) 684-6845



May 27, 2005

Jimmy Hughes
Financial Secretary-Treasurer
UTU Local 785

6

Re: _____ 2

Dear Mr. Hughes:

This office has recently completed an audit of UTU Local 785 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As we discussed during the exit interview on May 13, 2005, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

Title II of the LMRDA establishes certain reporting and record keeping requirements. The CAP disclosed a violation of LMRDA section 201(b), because UTU Local 785 did not file the Labor Organization Annual Report Form LM-3 for fiscal year ending December 31, 2003. The CAP also disclosed a violation of LMRDA section 201(a) which requires that unions submit a copy of their current constitution and bylaws to the Office of Labor-Management Standards. As you are aware, a copy of UTU Local 785's current bylaws were not on file at our office. During the audit you submitted the LM-3 report and provided a copy of the bylaws, so no further action is necessary in regard to these violations.

During the exit interview we also discussed some issues and I made some recommendations on matters that, although not violations, should be addressed accordingly by UTU Local 785. I recommended that the union update its bylaws, in particular, as to authorized salaries and other items that are outdated in the current bylaws. Also, I recommended that the union document in the monthly meeting minutes the balance of its annuity and checking accounts.

I want to extend my personal appreciation for your cooperation and courtesy during this compliance audit. If we can be of any assistance in the future, please do not hesitate to call.

Sincerely,

7(c)

7(c)

Investigator