

U.S. Department of Labor

Employment Standards Administration
Office of Labor-Management Standards
St. Louis District Office
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St. Louis, Missouri 63103
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August 29, 2005

Mr. Tony Buffa, Treasurer
Carpenters Local 602
19 El Rancho
Cedar Hill, Missouri 63016

Dear Mr. Buffa:

This office has recently completed an audit of Carpenters Local 602 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959 (LMRDA). As discussed during the exit interview with Mr. Randolph, Mr. Morice, and you on August 11, 2005, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

The CAP disclosed a violation of LMRDA Section 201(b) because the Labor Organization Annual Report Form LM-3 filed by Carpenters Local 602 for fiscal year ending June 30, 2004, was deficient and failed to meet the standards of acceptability.

The deficiencies included several payments to M. Randolph and J. Hayes that were not properly reported. All direct disbursements to your union's officers and certain indirect disbursements made by your organization on behalf of its officers must be included in the amounts reported in Item 24.

I am not requiring that Local 602 file an amended LM-3 report for fiscal year ending June 30, 2004, to correct the deficient items, but as agreed, your union will properly report the deficient items on all future reports filed with this agency. It was expressed in the closing interview that the accountant who filled out the 2004 report was no longer working for Local 602. Steve Morice, the new accountant, is aware of the filing requirements and will complete the 2005 report.

The CAP also disclosed that the local failed to maintain documentation for expenses for a Christmas party. The check was written to Maggie O'Brien's for catering and no receipt was available. The issue was resolved through third party verification and no further action was necessary.

You also provided documentation showing that at the end of the reviewed fiscal year, the local combined three CD's into one CD from . . . All other questions were answered during the closing interview.

I want to extend my personal appreciation for the cooperation and courtesy extended by you and your entire staff during this compliance audit. If we can be of any assistance in the future, please do not hesitate to call.

Sincerely,

Dennis L. Eckert
District Director

By: *FCC*
Investigator

FCC
INVESTIGATOR
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