U.S. Department of Labor

Employment Standards Administration Office of Labor-Management Standards Atlanta District Office 61 Forsyth Street Room 8B85 Atlanta, GA 30303 (404) 562-2732 / FAX: (404) 562-2087



October 29, 2004

Ronald Murray

Secretary-Treasurer

Transportation Union, LU 1033

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Re:

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Dear Mr. Murray:

This office has recently completed an audit of Transportation Union LU 1033 under the Compliance Audit Program (CAP) to determine your organization's compliance with the provisions of the Labor-Management Reporting and Disclosure Act of 1959. As discussed during the exit interview with you on 10/29/04, the following problems were disclosed during the CAP. The matters listed below are not an exhaustive list of all possible problem areas since the audit conducted was limited in scope.

The CAP disclosed a violation of LMRDA Section 201(a) which requires that unions submit a copy of their current constitution and bylaws with their LM report when bylaw changes are made. LU 1033 amended its constitution and bylaws in 1996, but a copy of the constitution and bylaws was not filed with Local 1033's LM-3 report for that year. Since a copy of the bylaws was provided during the CAP, no additional enforcement action will be taken regarding this violation. However, the local is officially notified of the requirement to file all future amendments to the bylaws.

The CAP disclosed a violation of LMRDA Section 201(b), because the Labor Organization Annual Report (Form LM-3) filed by Local 1033 for fiscal year ending December 31, 2003, needed to be amended. Since you have agreed to correct the deficient annual report, no additional enforcement action will be taken regarding this violation. However, please note that we cannot close our file on your union until this amended report is received. Please file the amended report by November 15, 2004.

I strongly recommend that you make sure that this letter and the compliance assistance materials that were provided to you are passed on to yours and Mr. McGuire's successors at whatever time you may leave

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I want to thank you for your cooperation and courtesy during this compliance audit. If we can be of any assistance in the future, please do not hesitate to contact me or any other representative of our office.

Sincerely,

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Investigator